

Disciplinary Tribunal

Member Name:

Member Name Withheld, MIPA

Division:

Western Australia

Date of Hearing:

14 November 2014

The IPA Board Disciplinary Tribunal of 14 November 2014 determined that the following case presented against the member was proven:

- a) Breached clause 98(2)(a) of the IPA Constitution as the member breached the IPA By-Laws clauses 2.1.2 and 2.1.3. The member failed to adequately and properly carry out duties as a registered liquidator. ASIC accepted an enforceable undertaking from the member which requires the member to improve the systems and procedures for insolvency services, have the practice reviewed by an independent quality reviewer, and ASIC will monitor the member's conduct from reviewer's reports;
- b) Breached clause 98(2)(b) of the IPA Constitution as the member failed to observe a proper standard of professional care, skill or competence. The above constitutes a failure to observe a proper standard of professional care, skill or competence;

and

c) Breached clause 98(2)(f) of the IPA Constitution as the member is guilty of conduct which is not in the best interests of the IPA. The above constitutes conduct that is not in the best interests of the IPA.

The Tribunal further resolved that the member is admonished and required to pay costs of \$550.00.

Date of Notice: 6 January 2015

Reference: 4714