



**Member Name:** Member Name Withheld - FIPA

**Division:** New South Wales

**Date of Hearing:** 19 April 2013

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The IPA Board Disciplinary Tribunal of 19 April 2013 determined that the following case presented against the member was proven:

- a) Breached clause 98(2)(f) of the IPA Constitution as the member is guilty of conduct which is not in the best interests of the IPA. ASIC banned the member from engaging in financial services for five years after it was found the member made false or misleading statements and engaged in misleading or deceptive conduct which subsequently resulted in the loss of significant funds to investors.

The Tribunal further resolved that the member is admonished and required to pay costs of \$550.00.

**Date of Notice:** 5 June 2013

**Reference:** 6036