

Member Name: Member Name Withheld - ANIA
Division: Western Australia
Date of Hearing: 30th April 2010

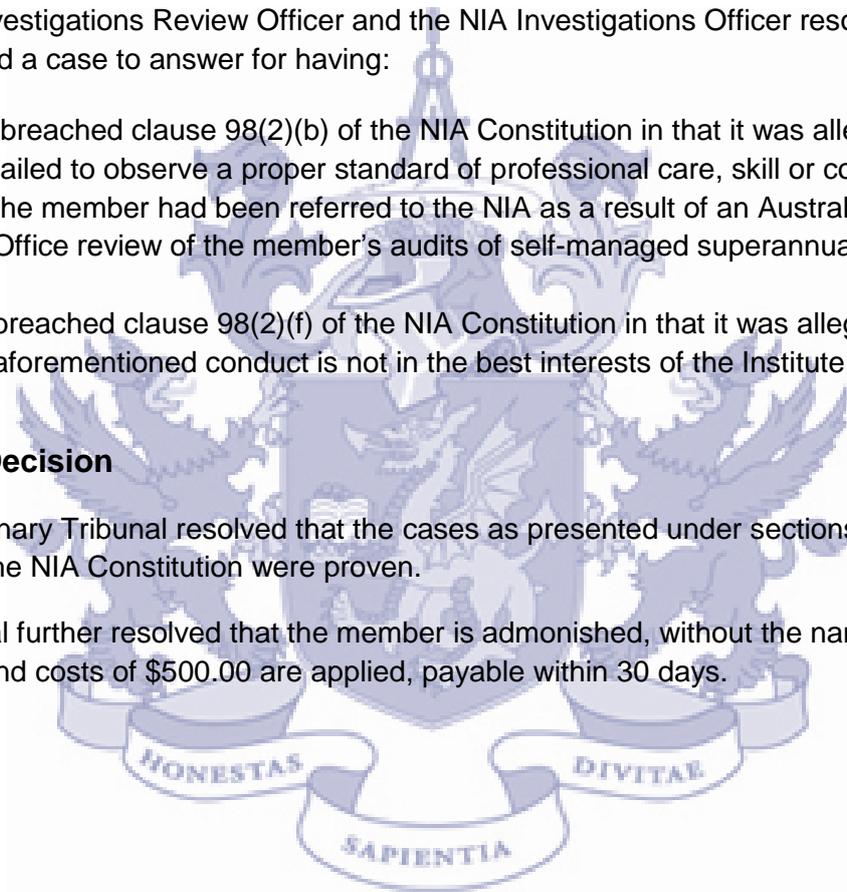
The NIA Investigations Review Officer and the NIA Investigations Officer resolved that the member had a case to answer for having:

- (a) breached clause 98(2)(b) of the NIA Constitution in that it was alleged the member failed to observe a proper standard of professional care, skill or competence in that the member had been referred to the NIA as a result of an Australian Taxation Office review of the member's audits of self-managed superannuation funds; and
- (b) breached clause 98(2)(f) of the NIA Constitution in that it was alleged that the aforementioned conduct is not in the best interests of the Institute.

Tribunal Decision

The Disciplinary Tribunal resolved that the cases as presented under sections 98(2)(b) and 98(2)(f) of the NIA Constitution were proven.

The Tribunal further resolved that the member is admonished, without the name being published and costs of \$500.00 are applied, payable within 30 days.



Date of Notice: 22nd June 2010
Reference: 6973