

Member name: Member Name Withheld – FPNA

Division: Western Australia

Date of Hearing: 30th April 2010

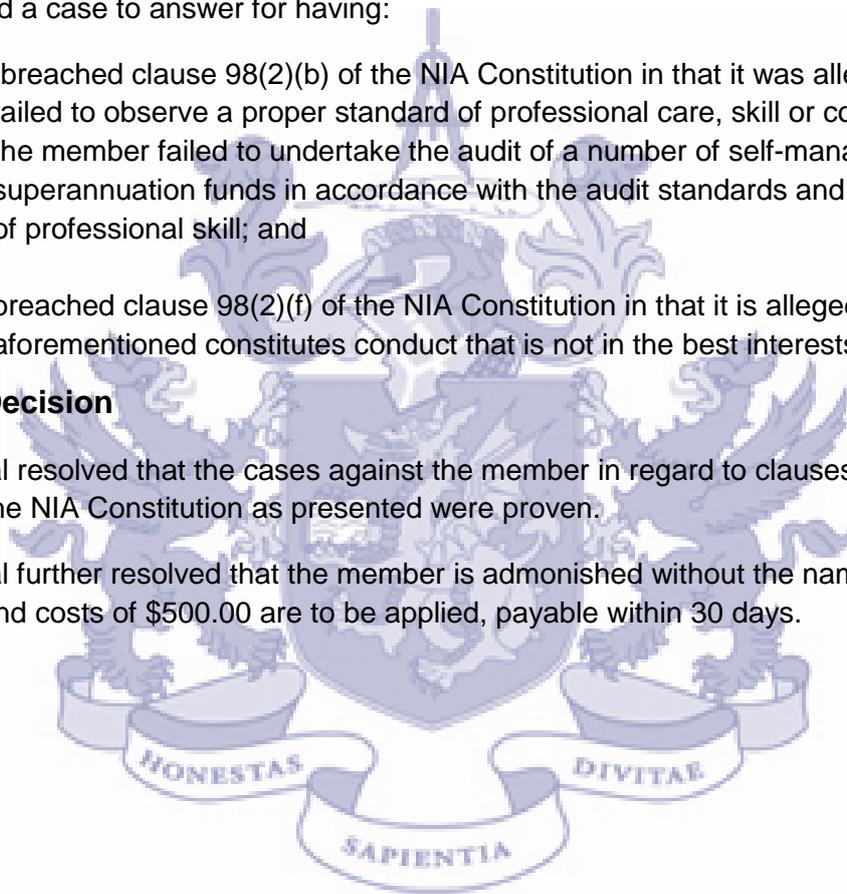
The NIA Investigations Review Officer and the NIA Investigations Officer resolved that the member had a case to answer for having:

- (a) breached clause 98(2)(b) of the NIA Constitution in that it was alleged the member failed to observe a proper standard of professional care, skill or competence in that the member failed to undertake the audit of a number of self-managed superannuation funds in accordance with the audit standards and proper standards of professional skill; and
- (b) breached clause 98(2)(f) of the NIA Constitution in that it is alleged that the aforementioned constitutes conduct that is not in the best interests of the Institute.

Tribunal Decision

The Tribunal resolved that the cases against the member in regard to clauses 98(2)(b) and 98(2)(f) of the NIA Constitution as presented were proven.

The Tribunal further resolved that the member is admonished without the name being published and costs of \$500.00 are to be applied, payable within 30 days.



Date of Notice: 22nd June 2010
Reference: 1004