

Member name: Member Name Withheld – MNIA

Division: New South Wales

Date of Hearing: 30th April 2010

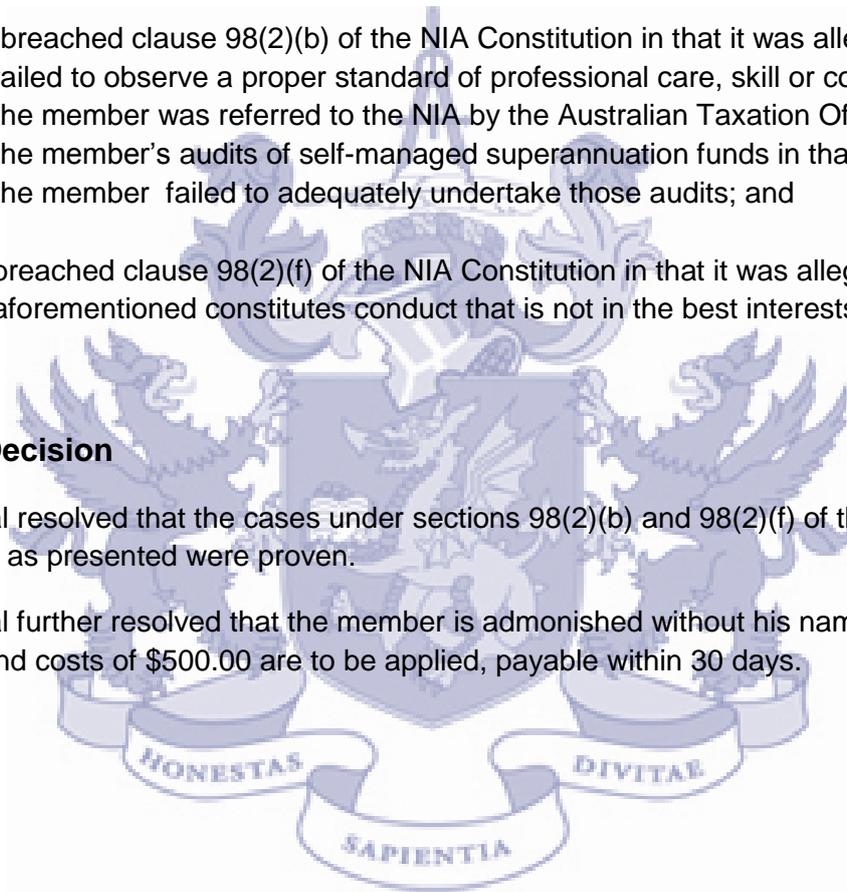
The NIA Investigations Review Officer and the NIA Investigations Officer resolved that the member had a case to answer for having:

- (a) breached clause 98(2)(b) of the NIA Constitution in that it was alleged the member failed to observe a proper standard of professional care, skill or competence in that the member was referred to the NIA by the Australian Taxation Office in relation to the member's audits of self-managed superannuation funds in that it was alleged the member failed to adequately undertake those audits; and
- (b) breached clause 98(2)(f) of the NIA Constitution in that it was alleged that the aforementioned constitutes conduct that is not in the best interests of the Institute.

Tribunal Decision

The Tribunal resolved that the cases under sections 98(2)(b) and 98(2)(f) of the NIA Constitution as presented were proven.

The Tribunal further resolved that the member is admonished without his name being published and costs of \$500.00 are to be applied, payable within 30 days.



Date of Notice: 7th May 2010
Reference: 1512