

2010 Complaints

In 2010 the IPA received thirty six (36) formal complaints that were actioned by the IPA. Twenty seven (27) of these complaints related to professional conduct complaints. Six (6) complaints related to alleged breaches of the IPA's professional and ethical requirements. These complaints are complaints initiated by the IPA when it discovers that a member may be in breach of the professional and ethical requirements of the IPA such as a member being in public practice without a Professional Practice Certificate (PPC), a member not having professional indemnity insurance as required by the IPA or member failing to undertake appropriate CPE as required by IPA Pronouncement 7. There was one (1) complaint referred to the IPA by the ATO in relation to an SMSF auditor. One (1) complaint related to audit of an incorporate association. One (1) complaint related to an allegation of assault.

Break down of types of cases:

- 27 Prof conduct
- 6 breach IPA requirements
- 1 ATO SMSF Auditor
- 1 Other Audit
- 1 Assault

The breakdown by State of the Member complained of is:

- NSW 12
- Vic 8
- Qld 6
- SA 5
- WA 4
- Act 0
- Tas 1

Of the 36 complaints in 2010

- 29 were finalised as no case to answer
- 2 complaints have were finalised as Administrative penalty
- 5 complaints were referred to the Disciplinary Tribunal